

A traditional thatched-roof hut, likely made of mud and wood, with a thick layer of dried grass or straw on the roof. The hut is supported by several wooden posts. The background shows a clear blue sky and some greenery.

# **Methods for Predicting Rainfall Impacts on Crops at a Long Lead Time**

**James Hansen and Ashok Mishra**

*presented at the*

***AMMA 1st International Conference***

***Dakar, Senegal, 1 December 2005***

*Linking Science to Society*

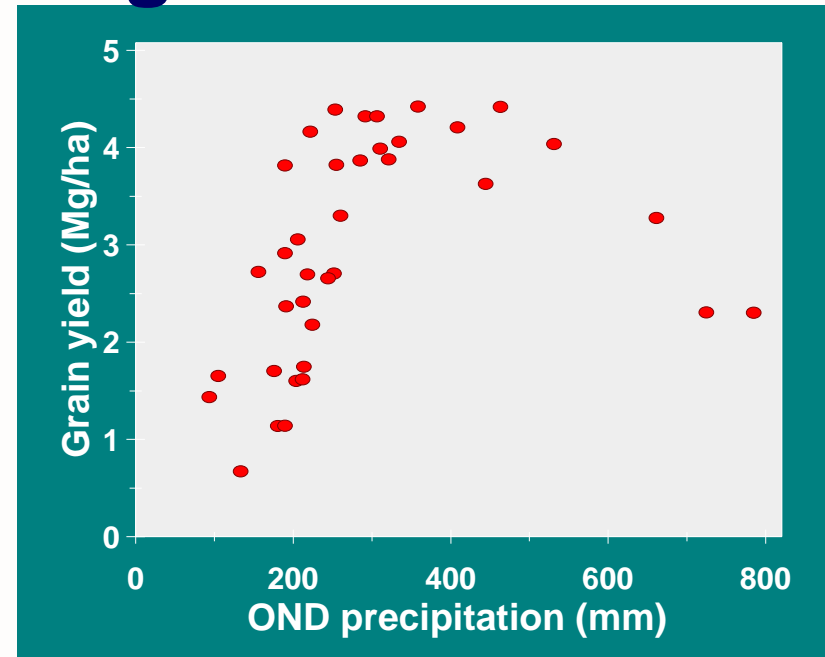
# Motivation

- Potential to anticipate conditions, crop response early enough to adjust decisions
- Link climate forecasts with crop models for:
  - Information relevant to decisions
  - Ex-ante assessment for credibility and targeting
  - Fostering and guiding management



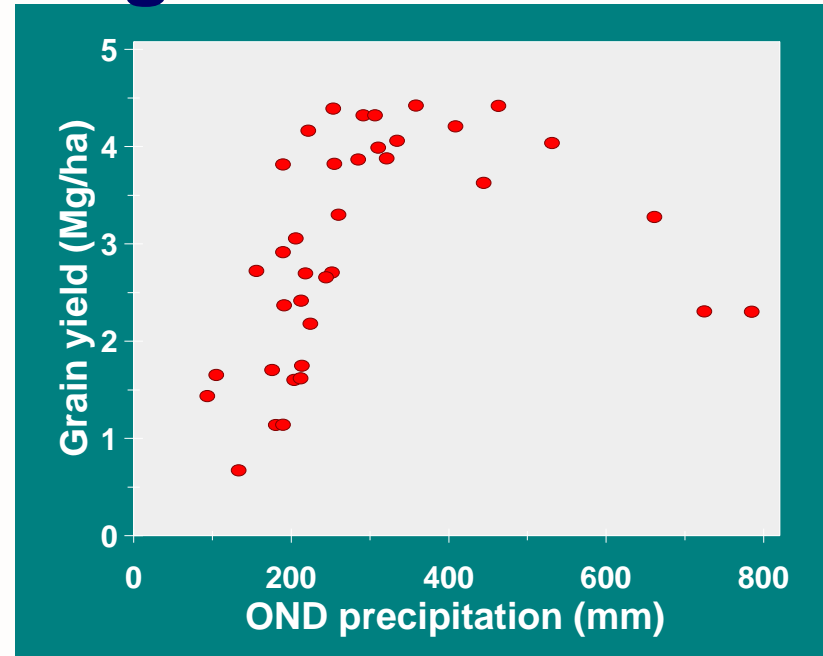
# The Challenge

- *Nonlinearity.* Crop response to environment nonlinear, non-monotonic.



# The Challenge

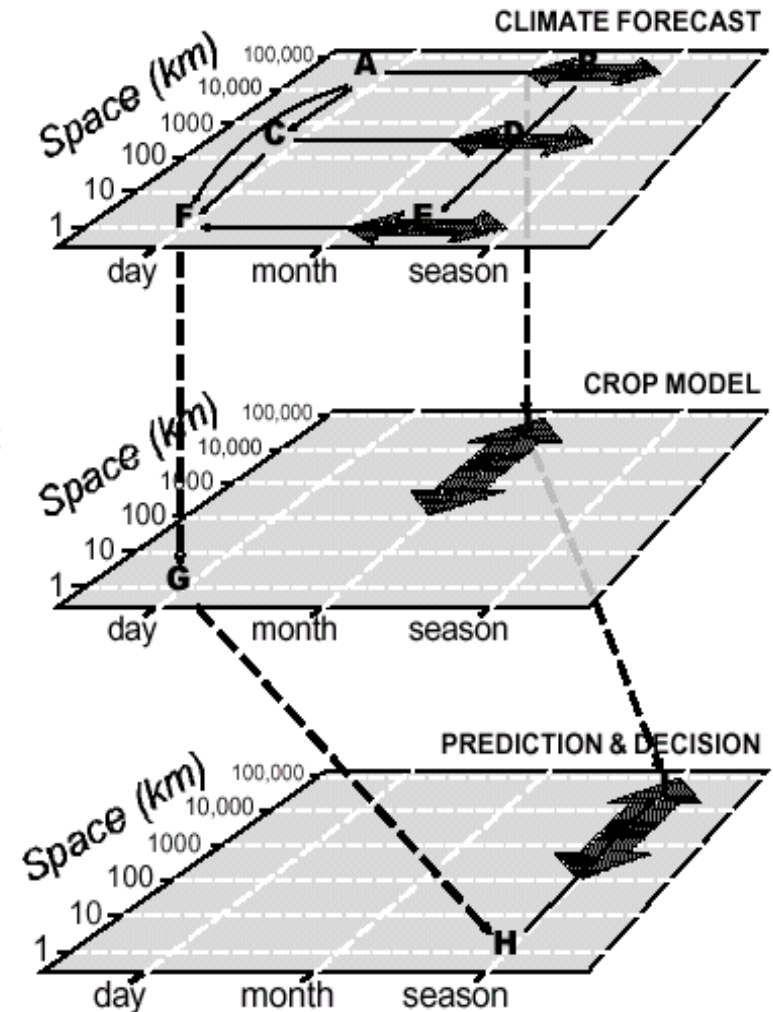
- *Nonlinearity*. Crop response to environment nonlinear, non-monotonic.



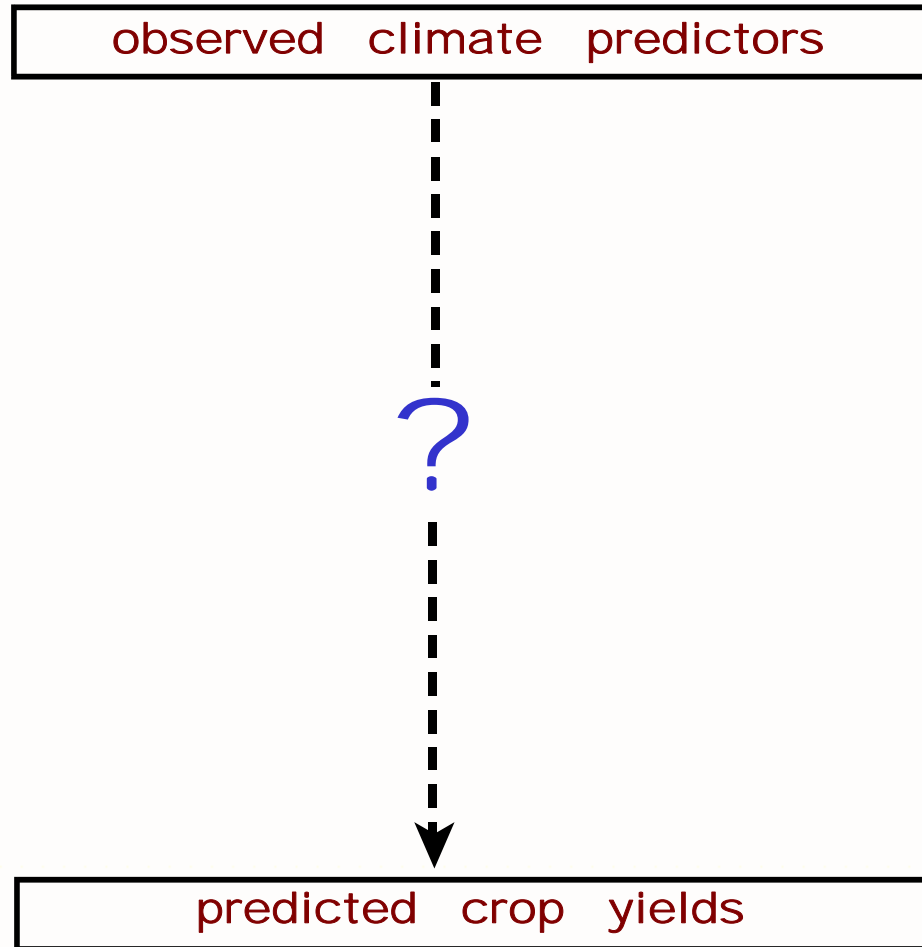
- *Dynamics*. Crops respond not to mean conditions but to dynamic interactions:
  - Soil water balance
  - Phenology

# The Challenge: The Scale Mismatch Problem

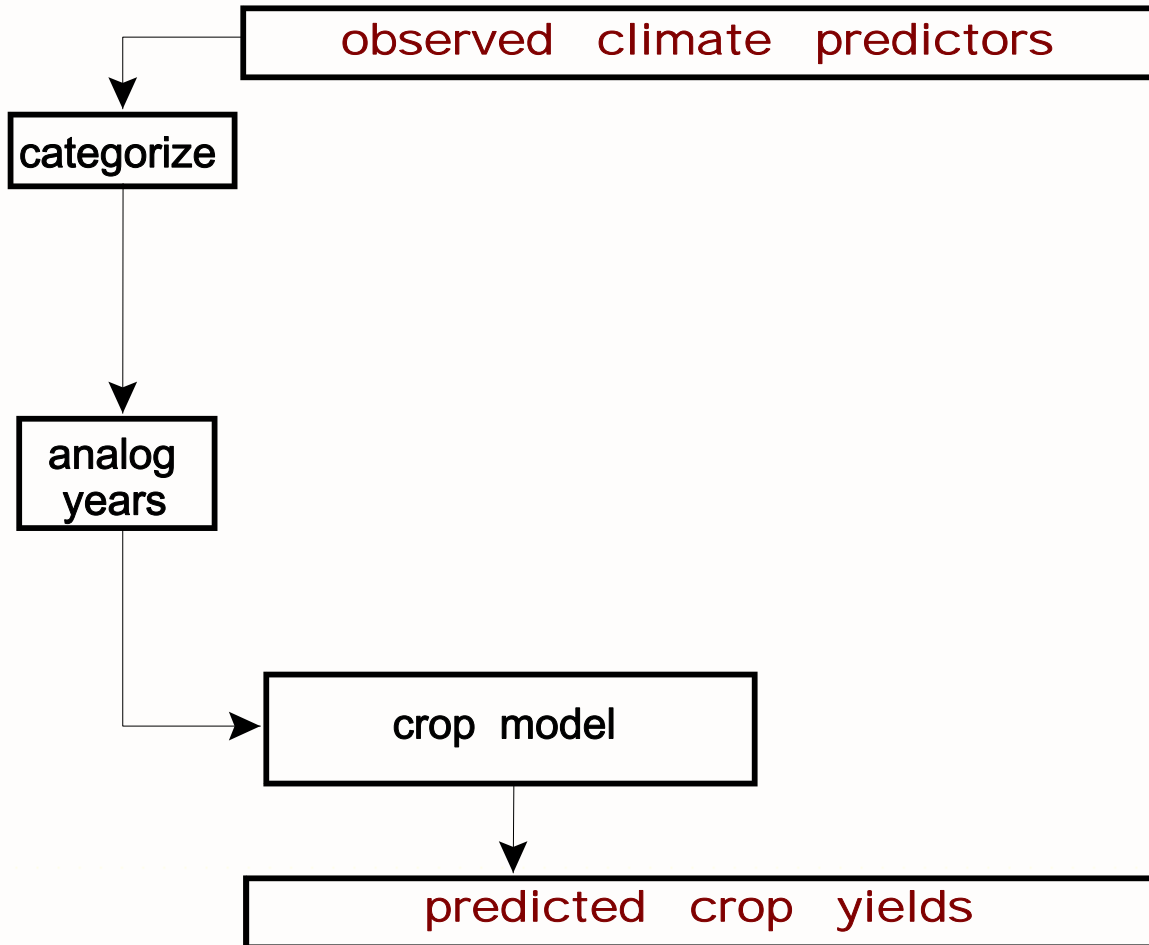
- Crop models:
  - Homogeneous plot spatial scale
  - Daily time step (w.r.t. weather)
- GCMs:
  - Spatial scale 10,000-100,000 km<sup>2</sup>
  - Sub-daily time step, BUT...  
Output meaningful only at (sub)seasonal scale
- Spatial averaging within GCM distorts daily variability important to crop response
- Temporal scale problem more difficult than spatial scale



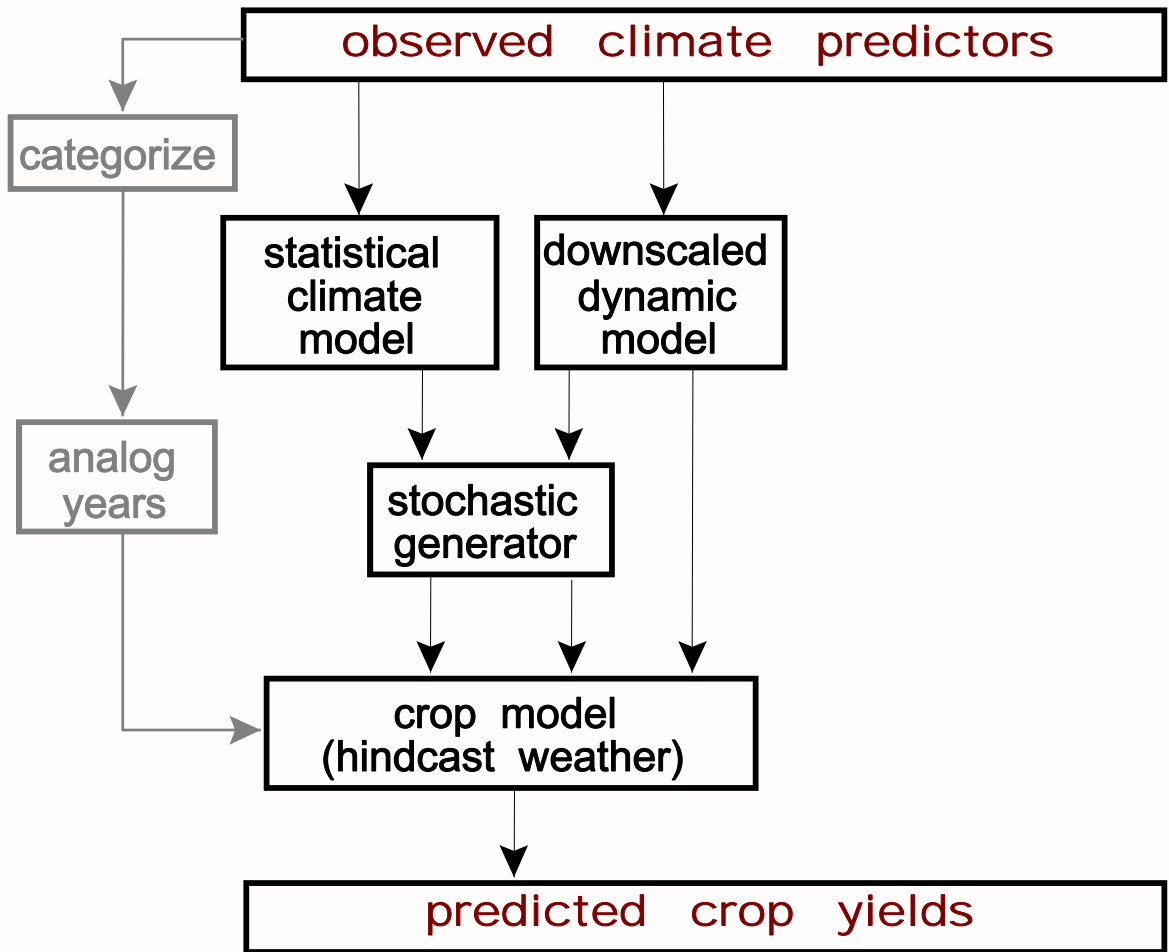
# Information Pathways



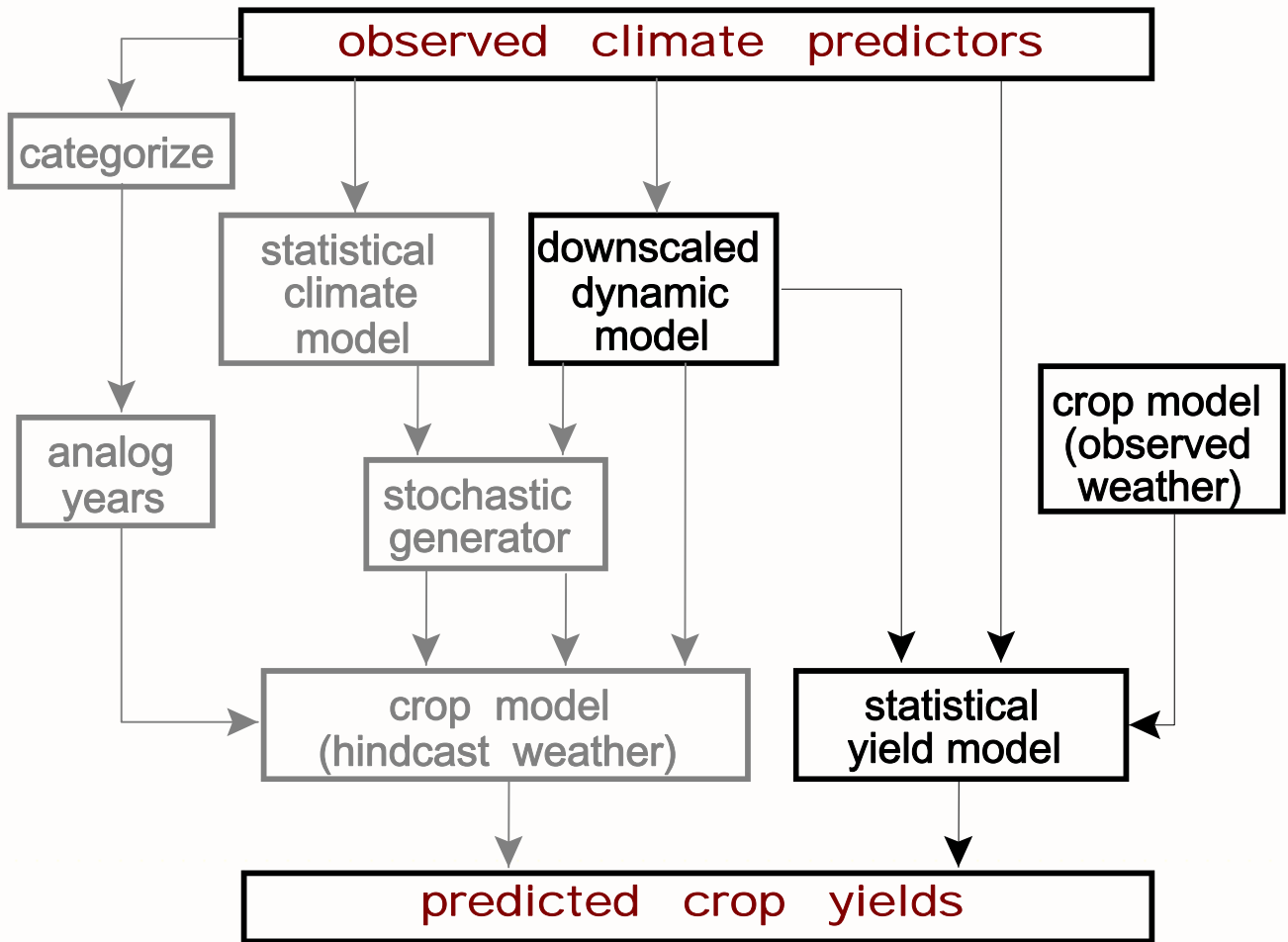
# Information Pathways



# Information Pathways



# Information Pathways

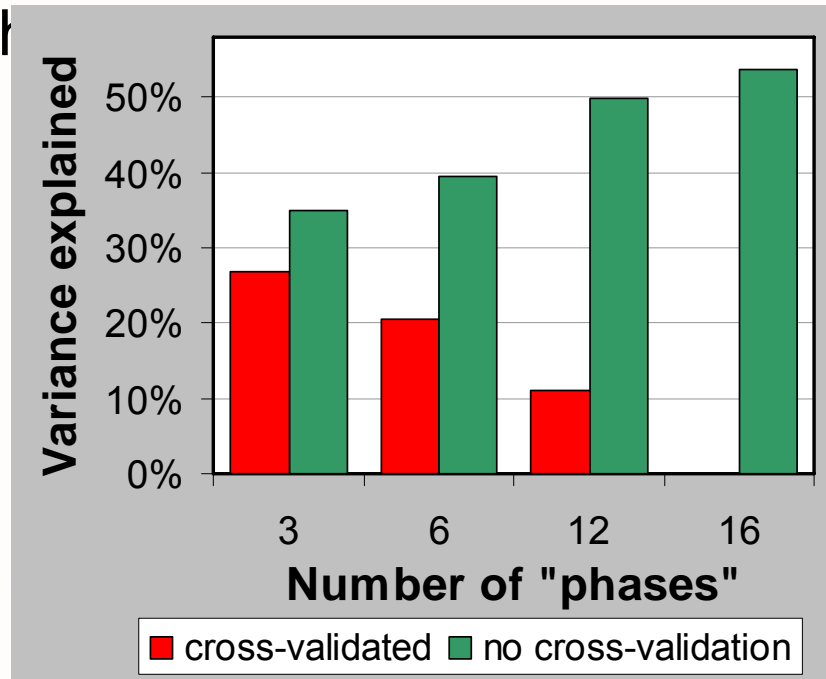


## Approaches

- Classification and analog methods (e.g., ENSO phases)
- Synthetic daily weather conditioned on forecast: *stochastic disaggregation*
- (Corrected) daily climate model output
- Statistical function of simulated response

# Historic Analogs

- Dominant approach
- Advantages
  - Intuitive probabilistic interpretation
  - Accounts for any differences in “signal strength”
  - May incorporate useful high
- Concerns
  - Small sample size, confidence, artificial skill
  - Are differences in distribution real?
  - How to use with dynamic prediction systems without discarding information?



# Synthetic Weather Inputs

## *Two Approaches:*

- Adjusting generator input parameters:
  - Well developed in climate change studies
  - Flexibility to produce statistics of interest
  - Assumed role of intensity vs. frequency
- Constraining generator outputs:
  - No assumptions re. frequency vs. intensity

# Synthetic Weather Inputs

## Option 2. Constraining generated output

- Approach:
  - Rainfall:
    - Sample stochastic month until total  $\approx$  target
    - Multiplicative rescaling to match target
  - T, H: Additive shift

Hansen & Ines, 2005. *Agric. For. Meteorol.* 131:233-246

# Synthetic Weather Inputs

## Option 2. Constraining generated output

- App

- R<sub>M</sub>

	Tifton, Georgia				Gainesville, Florida		
Scenario	$R_M$ vs. $\pi$	$R_M$ vs. $\mu_I$	$\mu_I$ vs. $\pi$		$R_M$ vs. $\pi$	$R_M$ vs. $\mu_I$	$\mu_I$ vs. $\pi$
<i>Observed daily rainfall</i>							
	0.649	0.577	-0.165		0.668	0.706	0.046
<i>Disaggregated monthly rainfall</i>							
constrain $R_M$	0.681	0.676	-0.004		0.649	0.697	0.014
condition $\pi$	0.822	0.473	0.013		0.831	0.121	0.052
condition $\mu_I$	0.491	0.856	0.071		0.458	0.837	0.052

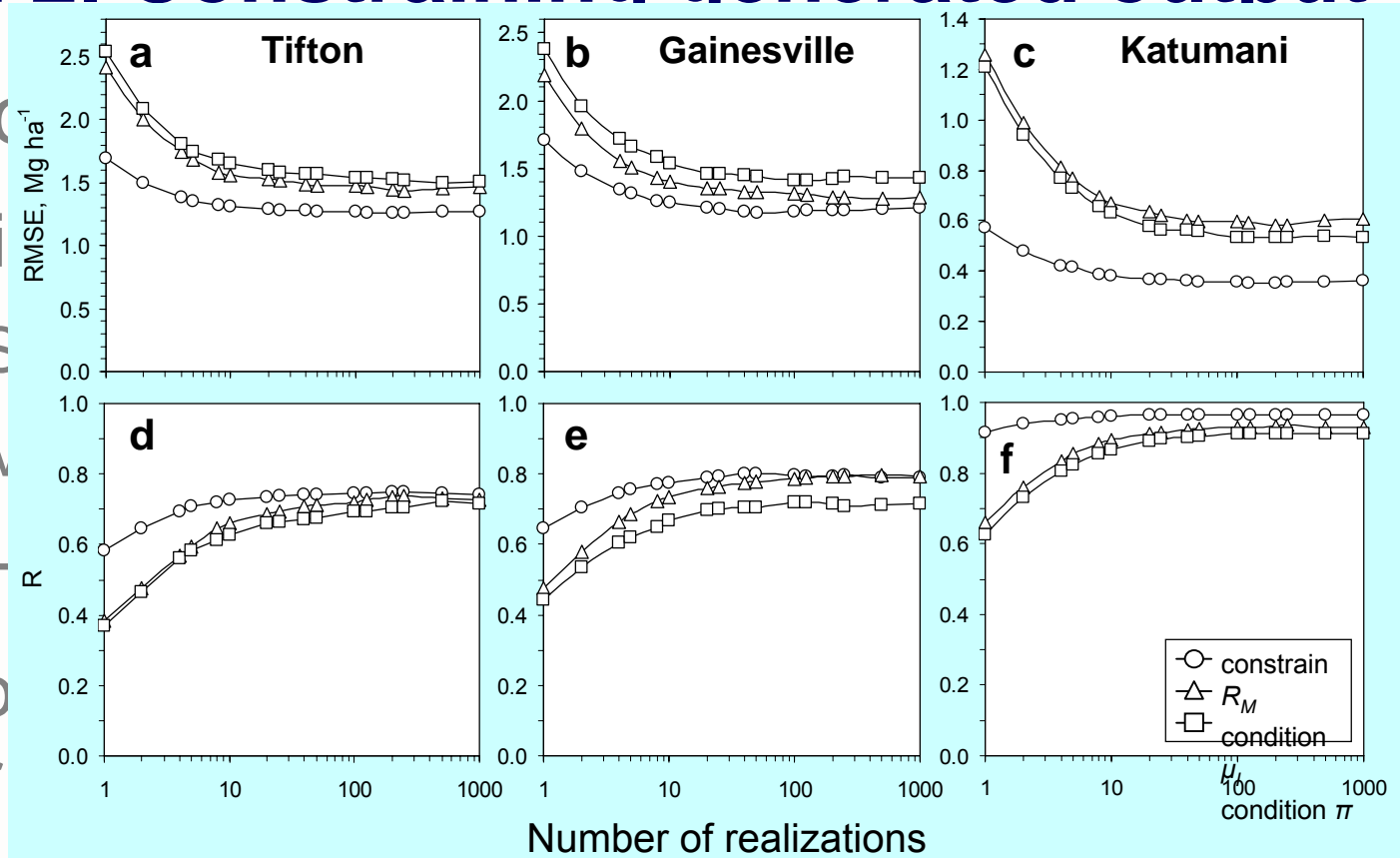
- Reproduces frequency-intensity correlations better than Option 1 (adjusting inputs)

Hansen & Ines, 2005. *Agric. For. Meteorol.* 131:233-246

# Synthetic Weather Inputs

## Option 2. Constraining generated output

- Approach
- Rain
- S
- M
- T, H
- Repro
- better



- Requires fewer replicates for given level of accuracy

Hansen & Ines, 2005. *Agric. For. Meteorol.* 131:233-246

# Use of Daily Climate Model Output

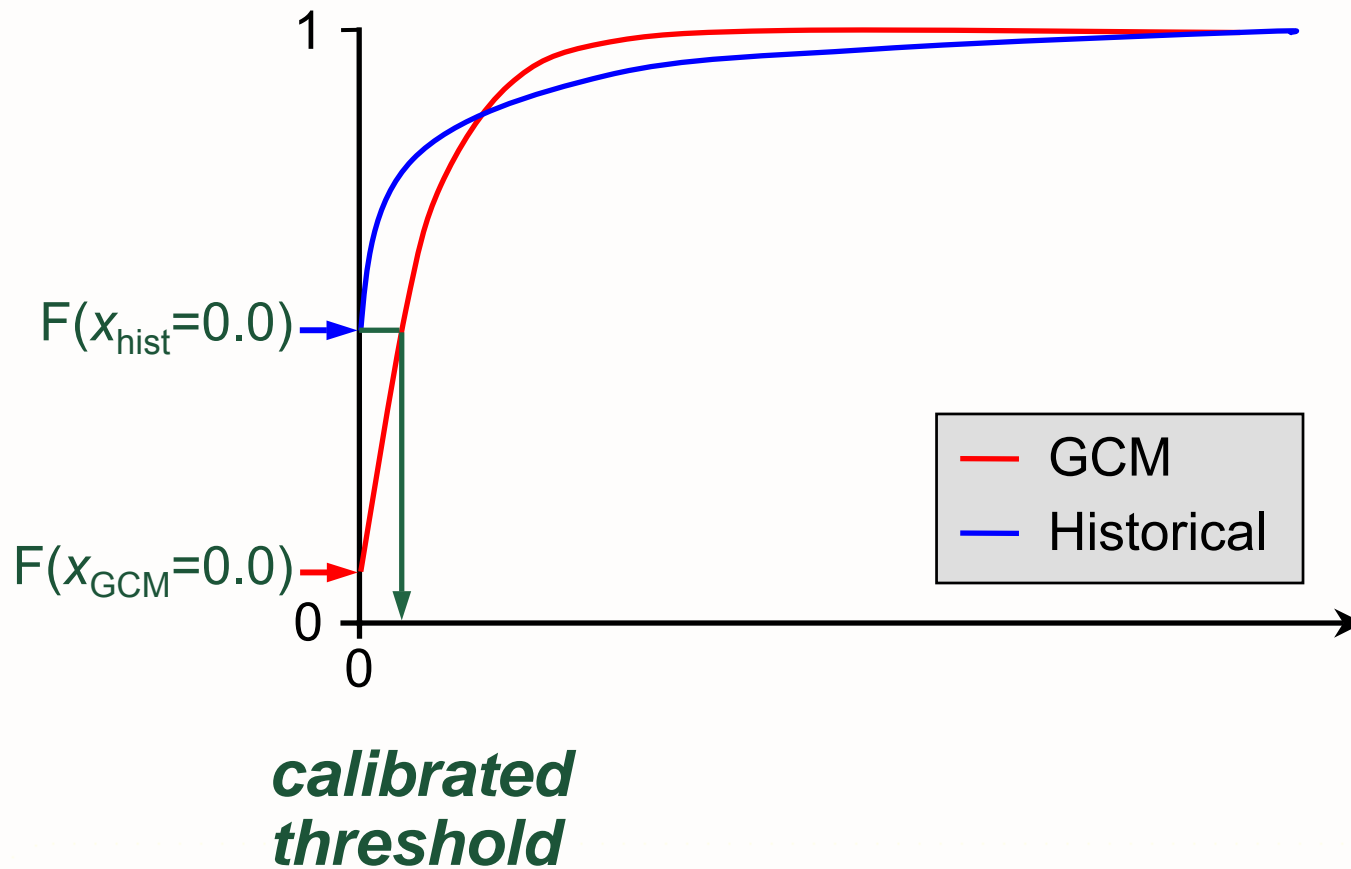
## Dealing with Distortion

- Calibrate simulated yields

Challinor et al., 2005. *Tellus* 57A:198-512

- Correct GCM mean bias
  - Additive shift for temperatures
  - Multiplicative shift for rainfall
- Rainfall frequency-intensity correction

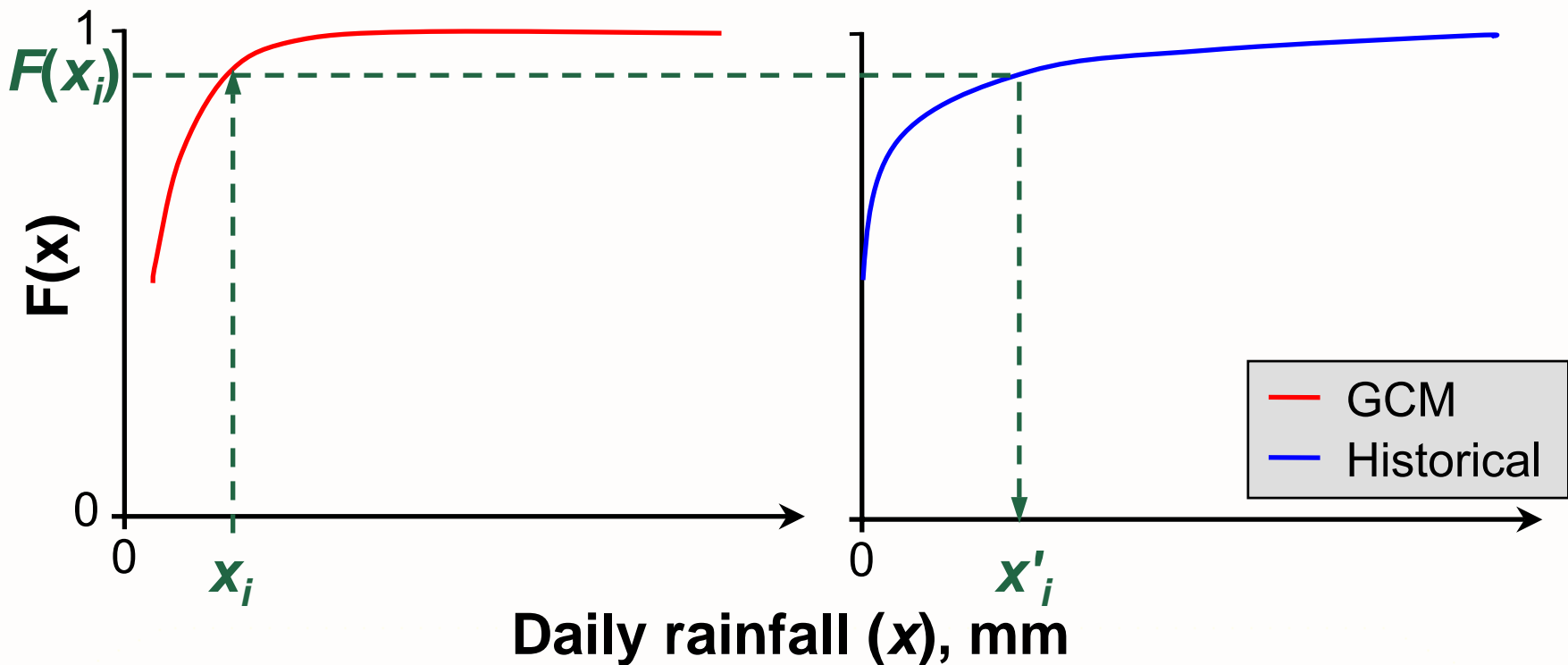
# Correcting Bias in Daily GCM Output: Rainfall Frequency



Ines & Hansen, *Agric. For. Meteorol.* submitted

# Correcting Bias in Daily GCM Output: Rainfall Intensity

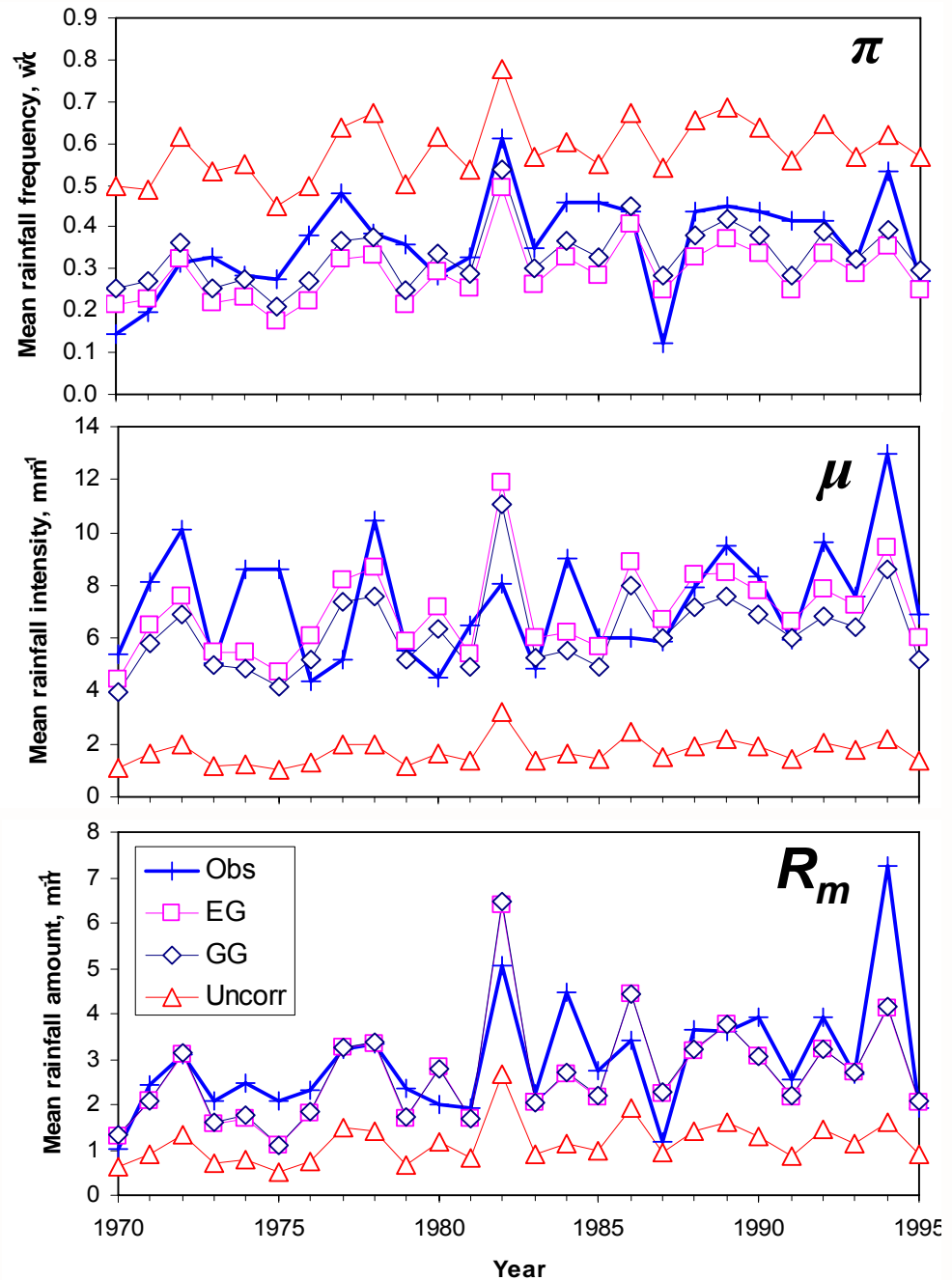
$$x'_i = F_{obs,m}^{-1} (F_{GCM,m} (x_i))$$



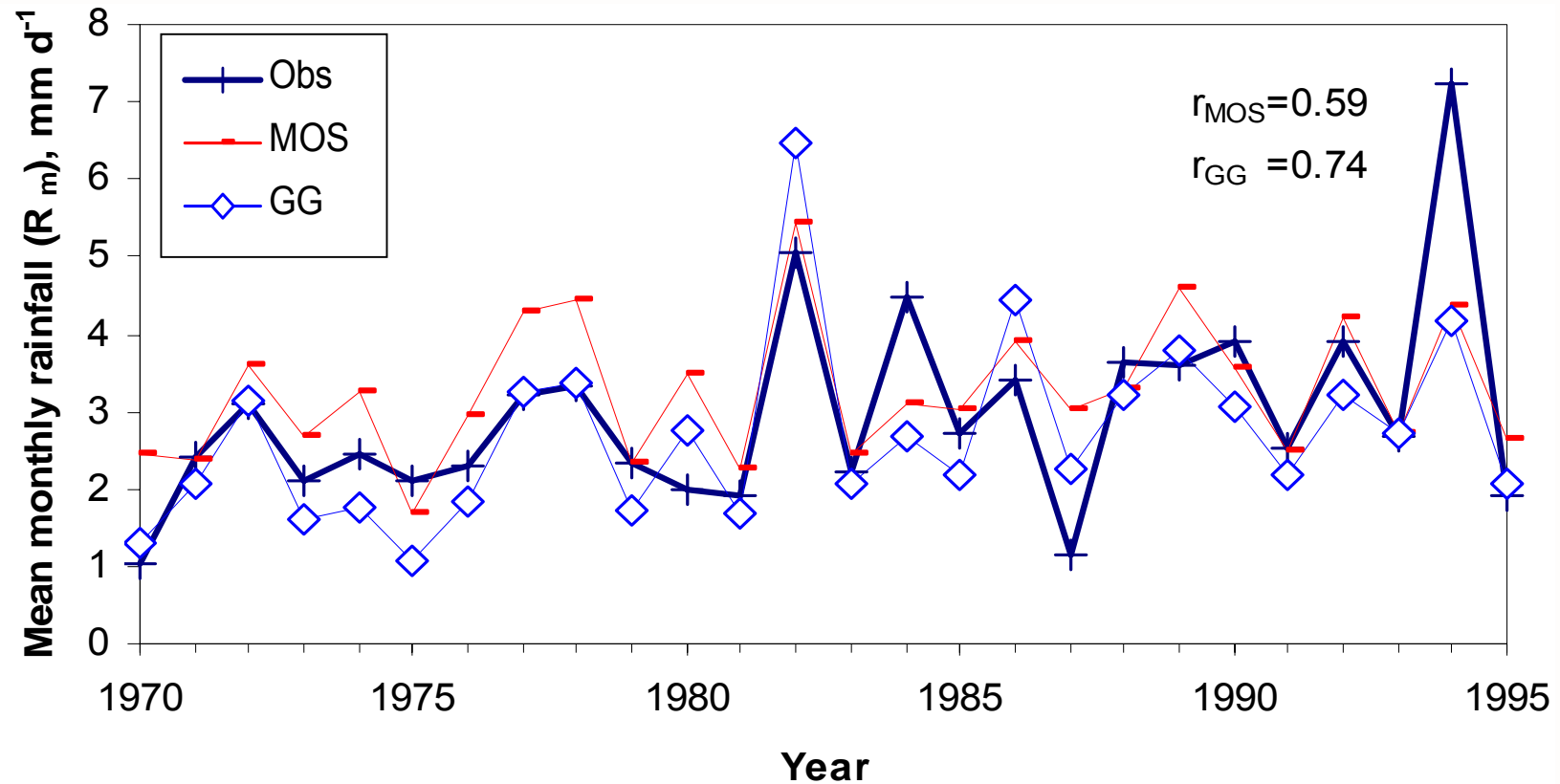
Ines & Hansen, *Agric. For. Meteorol.* submitted

# Corrects rainfall total, frequency, intensity

- Katumani, Kenya
- ECHAM4 & observed OND daily rainfall (1970-95)
- Intensity corrections:
  - *EG*: empirical (GCM) to gamma (observed)
  - *GG*: gamma (GCM and observed)



# Predicts yields from GCM, perhaps better than stochastic disaggregation



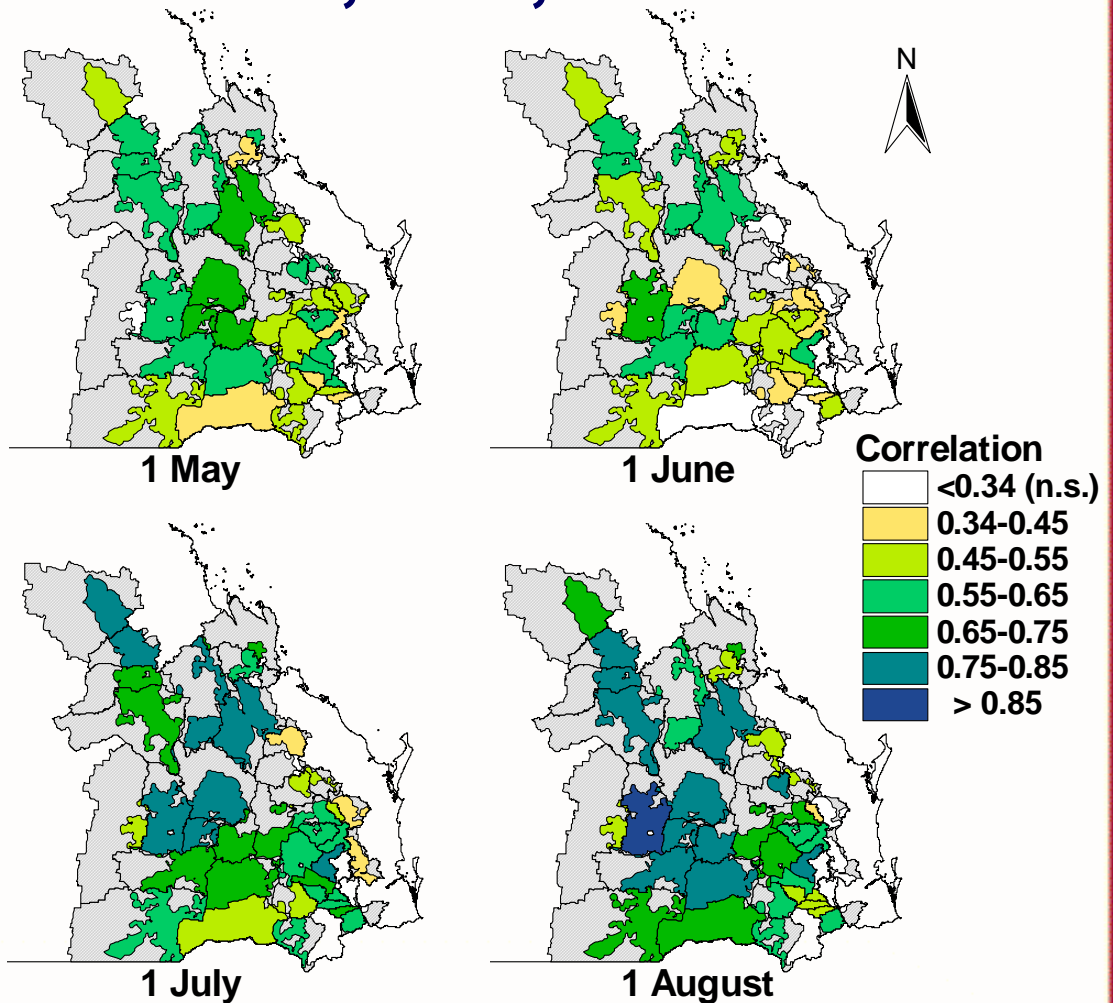
- CERES-Maize simulated with:
  - Disaggregated MOS-corrected monthly hindcasts
  - Gamma-gamma transformation of daily rainfall

# Statistical Prediction of Crop Simulation

- Seasonal predictors of local climate  
potential predictors of crop response
- Predictand: Yields simulated with  
observed weather
- Eliminates need for daily weather  
conditioned on climate forecast
- Static relationship to seasonal predictors
- Poor statistical behavior

# Linear Regression & Transformation: Regional-Scale Wheat, Qld, Australia

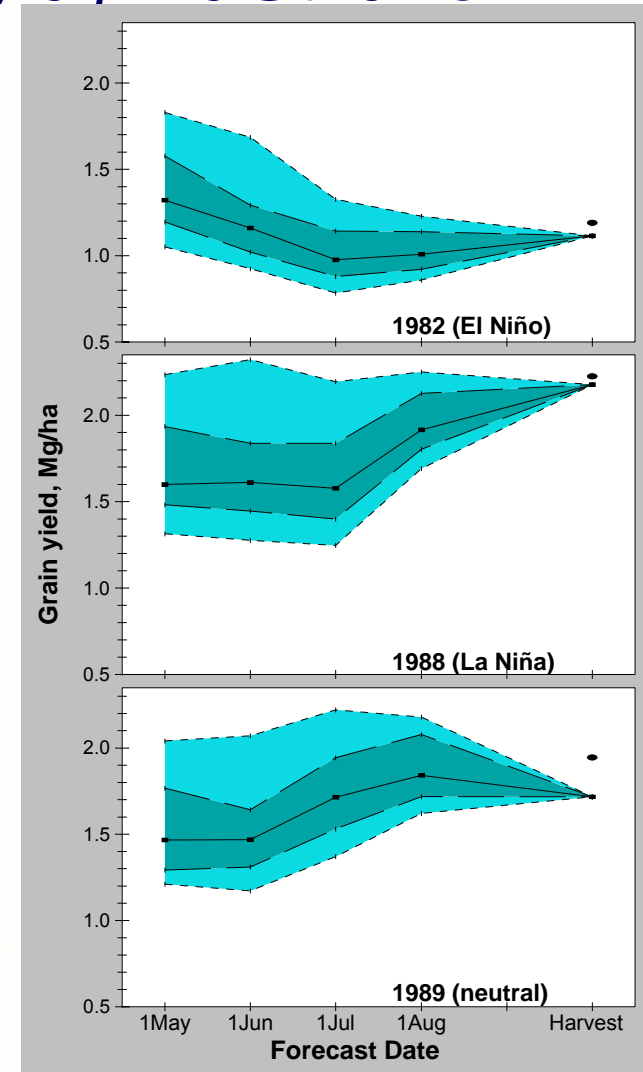
- District yield from water satisfaction index
- ECHAM4.5, persisted SSTs, optimized (MOS)
- Yield prediction by c-v linear regression
- Box-Cox transformation



Hansen et al., 2004, *Journal of Applied Meteorology*, 43:1001-1010

# Linear Regression & Transformation: Regional-Scale Wheat, Qld, Australia

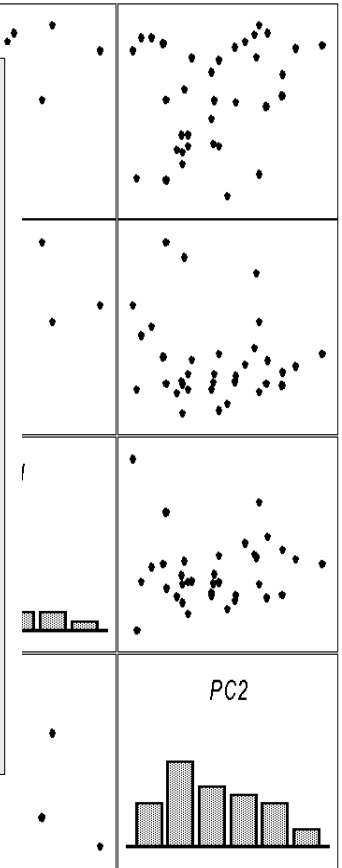
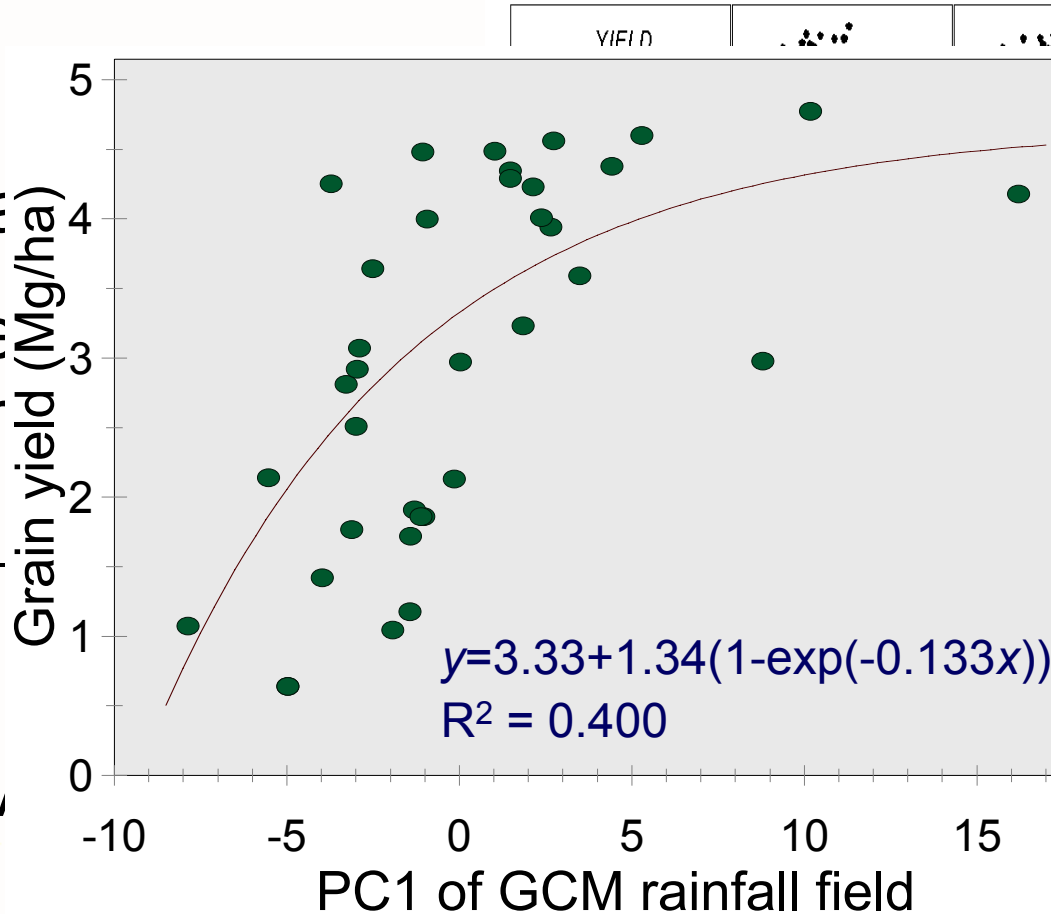
- Observed rain & GCM forecast updated monthly
- Forecast distribution:
  - Regression residuals in B-C transformed space
  - $n$  antecedent  $\times$   $n$  within-season weather years



# Statistical Prediction of Crop Simulation: Nonlinear Regression

Katumani maize prediction example:

- Yields  $\hat{y}$
- Mitscherlich function
- Cross-validation



# Statistical Prediction of Crop Simulation: *K* Nearest Neighbor

- Unequally-weighted analogs
- Weights  $w$ :
  - Based on rank distance (predictor state space)
  - Interpreted as probabilities
- Forecast  $\hat{y}$  a weighted mean:
- Optimize  $k$
- A non-parametric regression

$$w_j = \frac{1/j}{\sum_{i=1}^k 1/i}$$

$$\hat{y}_t = \sum_{i=1, i \neq t}^n w_i y_i$$

# Future Opportunities & Challenges

- Expand evaluation of alternative methods
- Embed crop models within climate models
- Enhance use of remote sensing and spatial data
- New avenues of climate prediction research – “*weather within climate*”

A vibrant sunset scene over a calm ocean. The sky is a deep, warm orange, with a bright sun partially obscured by dark, silhouetted clouds. The sun's rays create a shimmering path on the water's surface. The overall mood is peaceful and grateful.

**THANK YOU**